



Attorney Docket No. 99-037

#4 Receipt
FILE COPY
C.F.R.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.

Customer No.: 22927

For: METHOD AND SYSTEM FOR
PROVIDING A LINK IN AN
ELECTRONIC FILE BEING PRESENTED
TO A USER

Examiner: Not Yet Assigned

Serial No.: 09/606,422

Group Art Unit: 2776

Filing Date: June 29, 2000

Docket No.: 99-037

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231RECEIVED
OCT - 4 2000
TC 2700 MAIL ROOM

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt (in duplicate);
2. Red-Lined Copy of Official Filing Receipt; and
3. Copy of the Utility Patent Application Transmittal (Large Entity) as filed on June 29, 2000;
4. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge \$0.00 Deposit Account No. 50-0271. Order No. 99-037. The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-037. A duplicate copy of this sheet is attached.

Respectfully submitted,

Kurt M. Maschoff
Attorney for Applicants
PTO Reg. No. 38,235
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 461-7020 / Phone
(203) 595-8266 / FaxSeptember 11, 2000

Date

Certificate of Express Mail

I hereby certify that this correspondence is being sent via U.S. Postal Mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service, Washington, D.C. 20231 on September 11, 2000.

Jill Doherty

Name of Person Making Deposit

Signature

September 11, 2000

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.) Customer No.: 22927
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For: METHOD AND SYSTEM FOR) Examiner: Not Yet Assigned
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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED
OCT - 4 2000
TC 2100 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the correct title as **METHOD AND SYSTEM FOR PROVIDING A LINK IN AN ELECTRONIC FILE BEING PRESENTED TO A USER.**

The requested change has been indicated in red on the enclosed copy of the official Filing Receipt. Please issue a corrected Filing Receipt in due course.

In support of this request, applicants have enclosed a copy of the Utility Patent Application Transmittal (Large Entity) filed with the original patent application on June 29, 2000, which clearly indicates the correct data requested above. Please issue a corrected Filing Receipt in due course.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$0.00 to Deposit Account No. 50-0271. Order No. 99-037.



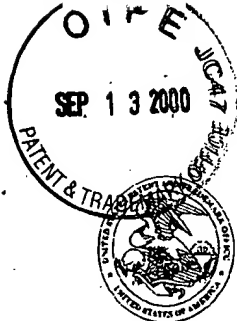
The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-037. A duplicate copy of this authorization is attached for such purpose.



September 11, 2000
Date

Respectfully submitted,

Kurt M. Maschoff
Attorney for Applicants
PTO Reg. No. 38,235
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Five High Ridge Park
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20230
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/606,422	06/29/2000	2776	2016	99-037	13	85	50

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

FILING RECEIPT



OC000000005364039

Date Mailed: 08/30/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay S. Walker, Ridgefield, CT ;
Daniel E. Tedesco, Westport, CT ;
John M. Packes JR., Hawthorne, NY ;
James A. Jorasch, Stamford, CT ;
Stephen C. Tulley, Stamford, CT ;
Keith Berner, New York, NY ;
Dean Alderucci, Ridgefield, CT ;
Marc D. Kessman, Brewster, NY ;

SEP - 5 2000

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/30/2000

Title

Method and system for providing a link in an electronic file *being presented* presented to a user

Preliminary Class

707

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
99-037

Total Pages in this Submission

COPY**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD AND SYSTEM FOR PROVIDING A LINK IN AN ELECTRONIC FILE BEING PRESENTED TO USER

and invented by:

Jay S. WALKER, Daniel E. TEDESCO, John M. PACKES, Jr., James A. JORASCH, Stephen C. TULLEY, Keith BEMER, Dean ALDERUCCI and Marc D. KESSMAN

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☒ **Divisional** ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 73 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
99-037

Total Pages in this Submission

116

Application Elements (Continued)

COPY

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 13 sheets
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL331356254US

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
99-037

Total Pages in this Submission
116

Accompanying Application Parts (Continued)

COPY

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

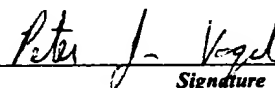
16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	85	- 20 =	65	x \$18.00	\$1,170.00
Indep. Claims	5	- 3 =	2	x \$78.00	\$156.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$2,016.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$2,016.00** as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Peter J. Vogel
Attorney for Applicants
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Dated: June 29, 2000

CC: Customer No. 22927